

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re patent of : **Attn: Certificate of**  
Hiroshi HAMASAKA et al. : **Correction Branch**  
Patent No. 7,356,247 : Atty Docket No. 2002\_0034A  
Issued April 8, 2008 :  
**Confirmation No. 3478**  
INFORMATION  
RECORDING APPARATUS AND  
METHOD FOR THE SAME :

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**REQUEST FOR CERTIFICATE OF CORRECTION UNDER 37 CFR 1.322**

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Sir:

In accordance with the provisions of 37 CFR 1.322, it is respectfully requested that a Certificate of Correction issue to correct the following:

Applicants kindly request that Okada reference (U.S. 6,181, 870) be identified as a cited reference.

**REMARKS**

Please note that the Okada reference was not identified as a cited reference due to Examiner error (see request dated November 21, 2006, response to allowance dated September 20, 2007 and Office communication dated July 1, 2008, attached herewith).

Respectfully submitted,

Hiroshi HAMASAKA et al.

/Andrew L. Dunlap/

By 2008.07.22 15:31:58 -04'00'

Andrew L. Dunlap

Registration No. 60,554

Attorney for Patentees

ALD/emd

Washington, D.C.

Telephone (202) 721-8200

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July 22, 2008

To: The Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450

**UNITED STATES PATENT AND TRADEMARK OFFICE  
CERTIFICATE OF CORRECTION**

PATENT NO : 7,356,247  
DATED : April 8, 2008  
INVENTOR(S) : Hiroshi HAMASAKA et al.

It is certified that error appears in the above-identified patent and that said Letters Patent is hereby corrected as shown below:

Applicants kindly request that Okada reference (U.S. 6,181,870) be identified as a cited reference.



# UNITED STATES PATENT AND TRADEMARK OFFICE

AD

UNITED STATES DEPARTMENT OF COMMERCE  
United States Patent and Trademark Office  
Address: COMMISSIONER FOR PATENTS  
P.O. Box 1450  
Alexandria, Virginia 22313-1450  
www.uspto.gov

APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY/DOCKET NO.	CONFIRMATION NO.
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10/046,512

01/16/2002

Hiroshi Hamasaka

2002 0034A

3478

52349

7590

07/01/2008

WENDEROTH, LIND & PONACK L.L.P.

2033 K. STREET, NW

SUITE 800

WASHINGTON, DC 20006

7,356,247

EXAMINER

TEKLE, DANIEL T

ART UNIT

PAPER NUMBER

2621

MAIL DATE

DELIVERY MODE

07/01/2008

PAPER

Please find below and/or attached an Office communication concerning this application or proceeding.

The time period for reply, if any, is set in the attached communication.

RECEIVED  
JUL 1 2008  
WENDEROTH, LIND & PONACK

**UNITED STATES DEPARTMENT OF COMMERCE****U.S. Patent and Trademark Office**

Address: COMMISSIONER FOR PATENTS

P.O. Box 1450

Alexandria, Virginia 22313-1450

APPLICATION NO./ CONTROL NO.	FILING DATE	FIRST NAMED INVENTOR / PATENT IN REEXAMINATION	ATTORNEY DOCKET NO.
10046512	1/16/2002	HAMASAKA ET AL.	2002_0034A

WENDEROTH, LIND & PONACK L.L.P.  
2033 K. STREET, NW  
SUITE 800  
WASHINGTON, DC 20006

**EXAMINER**

DANIEL TEKLE

**ART UNIT****PAPER**

2621

20080610

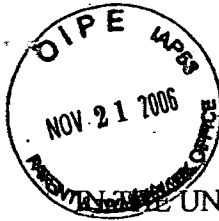
**DATE MAILED:**

**Please find below and/or attached an Office communication concerning this application or proceeding.**

**Commissioner for Patents**

The IDS filed on 11/21/06 considered and initialed.

/Marsha D. Banks-Harold/  
Supervisory Patent Examiner, Art Unit 2621



UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of : **Confirmation No. 3478**  
Hiroshi HAMASAKA et al. : Attorney Docket No. 2002\_0034A  
Serial No. 10/046,512 : Group Art Unit 2633  
Filed January 16, 2002 : Examiner Daniel T. Tekle

INFORMATION RECORDING APPARATUS  
AND METHOD FOR THE SAME

**REQUEST FOR ACKNOWLEDGMENT OF CONSIDERATION OF LISTED REFERENCE**

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Sir:

The Examiner issued an Examiner's initialed copy of the Information Disclosure Statement (PTO-1449) which was filed on June 20, 2003. The Examiner's initialed copy was attached to the Office Action mailed on July 24, 2006. However, the Examiner failed to initial the Okada reference (U.S. 6,181,870). Accordingly, it is respectfully requested that the Examiner review the Information Disclosure Statement enclosed herewith, and re-issue a copy wherein all of the listed references are acknowledged.

A copy of the partially initialed form PTO-1449 is enclosed for the Examiner's convenience.

Respectfully submitted,

Hiroshi HAMASAKA et al.

By: \_\_\_\_\_

Charles R. Watts  
Registration No. 33,142  
Attorney for Applicants

THE COMMISSIONER IS AUTHORIZED  
TO CHARGE ANY DEFICIENCY IN THE  
FEES FOR THIS PAPER TO DEPOSIT  
ACCOUNT NO. 23-0975

ALD(CRW)/mjw  
Washington, D.C. 20006-1021  
Telephone (202) 721-8200  
Facsimile (202) 721-8250  
November 21, 2006

Sheet 1 of 1

FORM PTO 1449 (modified)

U.S. DEPARTMENT OF COMMERCE  
PATENT AND TRADEMARK OFFICE

LIST OF REFERENCES CITED BY APPLICANT(S)  
(Use several sheets if necessary)

Date Submitted to PTO: June 20, 2003

ATTY DOCKET NO.  
2002\_0034A

SERIAL NO.  
10/046,512

APPLICANT  
Hiroshi HAMASAKA et al.

FILED DATE  
January 16, 2002

GROUP  
2615

U.S. PATENT DOCUMENTS

*EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
/DT/	AA	6,181,870	1/2001	Okada et al.			
	AB						
	AC						
	AD						
	AE						
	AF						
	AG						
	AH						
	AI						

RECEIVED  
JUN 23 2003  
Technology Center 2600

FOREIGN PATENT DOCUMENTS

		DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION YES NO	
DT	AJ	2000-324444	11/2000	JP			Abstract	
DT	AK	2000-261741	9/2000	JP			Abstract	
DT	AL	9-312827	12/1997	JP			Abstract	
DT	AM	2000-152183	5/2000	JP			Abstract	
	AN							

OTHER DOCUMENT(S) (Including Author, Title, Date, Pertinent Pages, Etc.)

	AO	
	AP	
	AQ	

EXAMINER /Daniel Tekle/

DATE CONSIDERED 07/17/2006

\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of : **Mail Stop: ISSUE FEE**  
Hiroshi HAMASAKA et al. : **Confirmation No. 3478**  
Serial No. 10/046,512 : [Group Art Unit 2621  
Filed January 16, 2002 : Examiner Daniel T. Tekle]  
  
INFORMATION RECORDING  
APPARATUS AND METHOD  
FOR THE SAME : Attorney Docket No. 2002\_0034A

**RESPONSE TO ALLOWANCE**

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Sir:

The Applicants hereby request that the Information Disclosure Statement (IDS) filed with the Patent Office on June 20, 2003 be completely considered by the Examiner, as it appears that the Examiner has inadvertently failed to initial next to the U.S. Patent Documents reference "AA" listed thereon. The Applicants also request that the Examiner return a completely initialed copy of the form PTO-1449 which was filed as part of the IDS of June 20, 2003. Enclosed herewith are copies of the Information Disclosure Statement, form PTO-1449, references, and date-stamped postcard receipt as evidence that the Information Disclosure Statement was submitted. Also enclosed is a copy of the partially filled out PTO-1449 form for the Examiner's convenience.

Respectfully submitted,

Hiroshi HAMASAKA et al.

By \_\_\_\_\_  
Andrew L. Dunlap  
Registration No. 60,554  
Attorney for Applicants

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Washington, D.C. 20006-1021  
Telephone (202) 721-8200  
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September 20, 2007





#7  
De  
06/27/03

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Inventor application of

: Confirmation No. 3478

Hiroshi HAMASAKA et al.

: Docket No. 2002\_0034A

Serial No. 10/046,512

: Group Art Unit 2615

Filed January 16, 2002

INFORMATION RECORDING APPARATUS  
AND METHOD FOR THE SAME

THE COMMISSIONER IS AUTHORIZED  
TO CHARGE ANY DEFICIENCY IN THE  
FEES FOR THIS PAPER TO DEPOSIT  
ACCOUNT NO. 23-0975

INFORMATION DISCLOSURE STATEMENT

RECEIVED

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

JUN 23 2003

COPY Technology Center 2600

Sir:

Pursuant to the provisions of 37 CFR 1.56, 1.97 and 1.98, Applicants request consideration of the references listed on attached form PTO-1449. A legible copy of each reference listed on the form PTO-1449 is enclosed.

1a. ☒ This Information Disclosure Statement is submitted:

within three months of the filing date (or of entry into the National Stage) of the above-entitled application, or

before the mailing of a first Office Action on the merits or the mailing of a first Office Action after the filing of an RCE,

and thus no certification and/or fee is required.

1b. ☐ This Information Disclosure Statement is submitted

after the events of above paragraph 1a and prior to the mailing date of a final Office Action or a Notice of Allowance or an action which otherwise closes prosecution in the application, and thus:

(1) ☐ the certification of paragraph 2 below is provided, **or**

(2) ☐ the fee of \$180.00 specified in 37 CFR 1.17(p) is enclosed.

1c. ☐ This Information Disclosure Statement is submitted:

after the mailing date of a final Office Action or Notice of Allowance or action which otherwise closes prosecution in the application, and prior to payment of the issue fee, and thus:

**the certification of paragraph 2 below is provided, and**

**the fee of \$180.00 specified in 37 CFR 1.17(p) is enclosed.**

2. It is hereby certified

a. ☐ that each item of information contained in this Information Disclosure Statement was first cited in any communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of the Statement, or

b. ☐ that no item of information contained in the Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart foreign application and, to the knowledge of the person signing the certification after making reasonable inquiry, was known to any individual designated in §1.56(c) more than three months prior to the filing of the Statement.

3. ☐ Consideration of the following list of additional information (including any copending or abandoned U.S. application, prior uses and/or sales, etc.) is requested.

4. For each non-English language reference listed on the attached form PTO-1449, reference is made to:

a. ☐ a full or partial English language translation submitted herewith,

b. ☒ a foreign patent office search report (in the English language) submitted herewith,

- c. ☐ the concise explanation contained in the specification of the present application at page,
- d. ☒ the concise explanation set forth in the attached English language abstracts,
- e. ☒ the concise explanation for reference AM is set forth below:

**U.S. Patent No. 6,181,870 corresponds to JP 2000-152183.**

5. ☐ A foreign patent office search report citing one or more of the references is enclosed.

Respectfully submitted,

Hiroshi HAMASAKA et al.

By Michael S. Huppert

Michael S. Huppert  
Registration No. 40,268  
Attorney for Applicants

MSH/kjf  
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June 20, 2003

Sheet 1 of 1

FORM PTO 1449 (modified)

U.S. DEPARTMENT OF COMMERCE  
PATENT AND TRADEMARK OFFICE

LIST OF REFERENCES CITED BY APPLICANT(S)  
(Use several sheets if necessary)

Date Submitted to PTO: June 20, 2003

ATTY DOCKET NO.  
2002\_0034A

SERIAL NO.  
10/046,512

APPLICANT  
Hiroshi HAMASAKA et al.

FILING DATE  
January 16, 2002

GROUP  
2615

U.S. PATENT DOCUMENTS

*EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
	AA	6,181,870	1/2001	Okada et al.			
	AB						
	AC						
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	AI						

FOREIGN PATENT DOCUMENTS

		DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION YES NO	
	AJ	2000-324444	11/2000	JP			Abstract	
	AK	2000-261741	9/2000	JP			Abstract	
	AL	9-312827 ✓	12/1997	JP			Abstract	
	AM	2000-152183 ✓	5/2000	JP			Abstract	
	AN							

OTHER DOCUMENT(S) (Including Author, Title, Date, Pertinent Pages, Etc.)

	AO	
	AP	
	AQ	

EXAMINER

DATE CONSIDERED

\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

**ATTY DOCKET #:** 2002\_0034A

**Confirmation No.** 3478

**Due Date:** N/A

**OUR REF:** 2002\_0034A/MSH/00177

**Applicant:** Hiroshi HAMASAKA et al.

**Serial No.:** 10/046,512 **Filing Date:** January 16, 2002

**Title:** INFORMATION RECORDING APPARATUS AND METHOD FOR THE SAME

**Receipt of the following papers is acknowledged:**

**INFORMATION DISCLOSURE STATEMENT**

Form PTO-1449 w(5) references attached

Copy of International Search Report



THE COMMISSIONER IS AUTHORIZED  
TO CHARGE ANY DEFICIENCY IN THE  
FEES FOR THIS PAPER TO DEPOSIT  
ACCOUNT NO. 23-0975

**Date:** June 20, 2003

**Attorney:** MSH/kjf

**[Check No. \_\_\_\_\_]**

FORM PTO 1449 (modified)

U.S. DEPARTMENT OF COMMERCE  
PATENT AND TRADEMARK OFFICELIST OF REFERENCES CITED BY APPLICANT(S)  
(Use several sheets if necessary)

Date Submitted to PTO: June 20, 2003

JUN 20 2003

ATTY DOCKET NO.  
2002\_0034ASERIAL NO.  
10/046,512APPLICANT  
Hiroshi HAMASAKA et al.FILING DATE  
January 16, 2002GROUP  
2615

## U.S. PATENT DOCUMENTS

*EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
	AA	6,181,870	1/2001	Okada et al.			
	AB						
	AC						
	AD						
	AE						
	AF						
	AG						
	AH						
	AI						

## FOREIGN PATENT DOCUMENTS

		DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION YES NO	
DT	AJ	2000-324444	11/2000	JP			Abstract	
DT	AK	2000-261741	9/2000	JP			Abstract	
DT	AL	9-312827	12/1997	JP			Abstract	
DT	AM	2000-152183	5/2000	JP			Abstract	
	AN							

## OTHER DOCUMENT(S) (Including Author, Title, Date, Pertinent Pages, Etc.)

	AO	
	AP	
	AQ	

EXAMINER

/Daniel Tekle/

DATE CONSIDERED

07/17/2006

\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.